FINRA Series 7 Exam

The Series 7 exam — the General Securities Representative Qualification Examination (GS) — assesses the competency of an entry-level registered representative to perform their job as a general securities representative.

The exam measures the degree to which each candidate possesses the knowledge needed to perform the critical functions of a general securities representative, including sales of corporate securities, municipal securities, investment company securities, variable annuities, direct participation programs, options and government securities. Candidates must pass the Securities Industry Essentials (SIE) and Series 7 exams to obtain the General Securities Representative registration. For more information about the SIE and Series 7 exams, refer to FINRA Rule 1210 and FINRA Rule 1220(b)(2).

Beginning June 9, 2023, candidates will need an approved accommodation to schedule an online appointment for an NFA or FINRA exam (other than the SIE). Online appointments scheduled to be taken after Sept. 9, 2023, will be canceled if the candidate does not have an approved accommodation.

Learn more in the How to Schedule an Appointment to Take Exams Online (Remotely) section of the Schedule an Exam page.

SERIES 7 AT A GLANCE

NUMBER OF ITEMS 125

FORMAT Multiple choice

DURATION 3 hours and 45 minutes

PASSING SCORE 72

COREQUISITE(S) SIE

COST \$300